

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENTS

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In re Application of:

Luchoomun, et al.

Application No.: 09/833,407

Filed: April 11, 2001

For: Compounds and Methods to Increase
Plasma HDL Cholesterol Levels and
Improve HDL Functionality

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Respectfully submitted,

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K&S Docket: 04676.105059
2456153 v1

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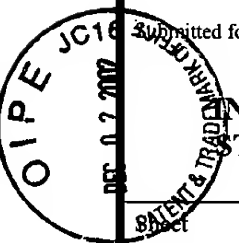
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	09/833,407
Filing Date	04/11/01
First Named Inventor	Luchoomun, et al
Group Art Unit	1614
Attorney Docket Number	04676.105059

1 of 3

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date Filed	Pgs, Clmns, Lns, Where Relevant Passages/Relevant Figs Appear
		Number	Kind Code (if known)			
	AA	U.S.S.N. 09/370,046		Russell M. Medford, et al	08/06/99	
	AB	U.S.S.N. 09/436,892 (Corrected Amendment and Response to Office Action dated 08/17/01)		Medford, et al	11/09/99	
	AC	U.S.S.N. 09/570,098 (Amendment and Response to Office Action dated 05/12/00)		Patricia K. Somers	05/12/00	
	AD	U.S.S.N. 09/779,086 (Substitute Specification and Drawings filed 06/18/01)		Chinery, et al	02/07/01	
	AE	U.S.S.N. 09/815,262		Charles Q. Meng, et al	03/21/01	

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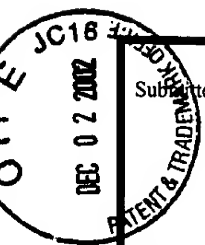
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Attorney Docket Number	04676.105059

Sheet 2 of 3

BA	U.S.S.N. 10/060,734 (Preliminary Amendment Filed 01/30/02)		Patricia K. Somers	01/30/02	
BB	U.S.S.N. 10/036,307		Patricia K. Somers, et al	10/25/01	
BC	U.S.S.N. 10,114,346 (Preliminary Amendment Filed 04/02/02)		Charles Q. Meng	04/02/02	
BD	U.S.S.N. 10/114,351 (Preliminary Amendment Filed 04/02/02)		Charles Q. Meng	04/02/02	
BE	U.S.S.N. 10/115,206 (Preliminary Amendment Filed 04/02/02)		Charles Q. Meng	04/02/02	
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Sheet	3	of	3		

FOREIGN PATENT DOCUMENTS								
Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document DD-MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number	Kind Code ² (if known)				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
		Meng et al.; Novel Phenolic Antioxidants as Multifunctional Inhibitors of Inducible VCAM-1 Expression for Use in Atherosclerosis," <i>Bioorganic & Medicinal Chemistry Letters</i> ; (2002); pp. 2545 – 2548	
		Rinninger et al.; "Probucol Enhances Selective Uptake of HDL-Associated Cholesteryl Esters In Vitro by a Scavenger Receptor B-I-Dependent Mechanism," <i>Artheroscler Thromb Vasc Biol.</i> ; (1999); Vol. 19; pp. 1325 - 1332	
		Sawayama, et al.; "Effects of Probucol and Pravastatin on Common Carotid Atherosclerosis in Patients with Asymptomatic Hypercholesterolemia," <i>Journal of the American College of Cardiology</i> ; Vol 39; No. 4; (2002); pp. 610 - 616	

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